RESONANT PHOTOEMISSION OF 4f ELECTRONS ON CLEAN SEMICONDUCTOR SURFACES

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Wide band-gap semiconductors doped with rareearth (RE) elements have experienced attention as materials for optoelectronic devices. The 4f electrons of RE ions are usually highly localized and in the electronic structure of the host material and thus the $4f^n$ electrons are only slightly perturbed compared to free ion energy levels. As a consequence, the wavelength of RE-related light emission is atomic like and almost insensitive to temperature, which usually is an attractive attribute for lightemitting devices. Because of that RE doped wide band semiconductors are attractive candidates for optoelectronic and thin film electroluminescent devices [1]-[1].

However, for some RE elements, for which the ionization energy of the 4f shell is close to the chemical potential, a mixed-valence state occurs. This regime may arise for samarium compounds, where $4f^6$ (Sm²⁺) and $4f^5$ (Sm³⁺) configurations are close to the Fermi level and almost degenerate. Therefore even small changes of the chemical environment cause changes in the samarium 4f configuration and thus influences the Sm valence and light emission, because Sm²⁺ and Sm³⁺ ions produce quite different photoluminescence spectra [4, 5].

In the paper we present resonant photoemission results for samarium doped wide band gap semiconductor surfaces like cadmium telluride (CdTe), gallium nitride (GaN) and zinc oxide (ZnO). Samarium is a very rewarding subject for resonant photoemission (RPES) studies because its divalent and trivalent electron configurations can be characterized by distinct and well-resolved photoemission spectral features. In resonant photoemission the emission from the Sm4f shell is resonantly enhanced when photon energy is tuned to the Sm4d \rightarrow Sm4f energy threshold. For the Sm²⁺ (4f⁶) and Sm³⁺ (4f⁵) configurations, two RPES processes can be expressed as follows:

$$\begin{aligned} &\operatorname{Sm}4d^{10}4f^6 + h\nu \to [\operatorname{Sm}4d^94f^7]^* \to \operatorname{Sm}4d^{10}4f^5 + e \quad (1) \\ &\operatorname{Sm}4d^{10}4f^6 + h\nu \to \operatorname{Sm}4d^{10}4f^5 + e \quad (2) \end{aligned}$$

$$\operatorname{Sm}4d^{10}4f^5 + h\nu \to [\operatorname{Sm}4d^94f^6]^* \to \operatorname{Sm}4d^{10}4f^4 + e$$
 (3)

$$\operatorname{Sm}4d^{10}4f^5 + h\nu \to \operatorname{Sm}4d^{10}4f^4 + e$$
 (4)

where * denotes an excited state. Equations (1) and (2) describe resonant and classical photoemission path for Sm²⁺, while equations (3) and (4) express these processes for a Sm³⁺ configuration.



Figure 1: Valence band EDCs of the Sm/GaN system (a) taken for $h\nu = 141$ eV, 136 eV and 130.9 eV and difference spectra Δ EDC showing the 4*f* contributions for Sm²⁺ (b) and Sm³⁺ (c).

In the synchrotron radiation experiments we sequentially deposited small amounts of samarium atoms on clean semiconductor surfaces (CdTe, GaN, ZnO) and via the RPES experiment we observed variations in the electronic band structure of the semiconductor systems. All experiments were performed at the Flipper II beamline in the HASY-LAB synchrotron radiation laboratory (Germany). The resonant enhancement in the photoemission intensity was observed at photon energy of 141 eV (for Sm^{3+} valence) and 136 eV (for Sm^{2+} valence), while the anti-resonance was observed at 131 eV. The difference between resonant and antiresonant photoemission spectra provides information about the Sm4f contribution to the electronic band structure of the investigated system. Valence band EDCs of the Sm/GaN system taken for $h\nu = 141$ eV, 136 eV and 130.9 eV (a) are shown in Fig. 1 together with the difference spectra, ΔEDCs , showing the 4f contributions for Sm^{2+} (b) and Sm^{3+} (c). Analogous results for the Sm/ZnO are presented in Fig. 2.

Resonant photoemission results provide a unique possibility to observe the variation in Sm valence after subsequent steps of samarium deposition onto clean semiconductor surfaces. It will be shown that samarium reacts in different ways with clean ZnO, GaN and CdTe surfaces. For CdTe and GaN the interaction of samarium with semiconductor surface is rather strong. In case of CdTe it leads to creation of Sm-CdTe compound that inhibits further diffusion of samarium atoms into the bulk [6]. In case of the Sm/GaN system [7] some Ga atoms are released



Figure 2: Valence band EDCs of the Sm/ZnO system taken for $h\nu = 141$ eV, 136 eV and 131 eV and difference spectra Δ EDC showing the 4*f* contributions for Sm²⁺ (upper) and Sm³⁺ (lower). EDC spectra at the Sm²⁺ and Sm³⁺ resonance (141 eV and 136 eV, respectively).

and segregated. When samarium is deposited onto the clean zinc oxide surface a metallic shoulder at the Fermi level is observed even after deposition of the first Sm monolayer, but it disappears after 30 minutes annealing at 300°C and than the Sm³⁺ valence is strongly privileged.

It will be shown that thanks to the Resonant Photoemission experiment we can extract information on subtle changes in chemical environment of the measured semiconducting systems.

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